



STIC Search Report

EIC 3600

STIC Database Tracking Number: 107708

TO: Dick Fults
Location: cpk 5 7C20
Art Unit : 3628
Wednesday, August 18, 2004

Case Serial Number: 09 767 031

From: Sylvia Keys
Location: EIC 3600
PK5-Suite 804
Phone: 305-5782

sylvia.keys@uspto.gov

Search Notes

Dear Examiner Fults,

Please read through the results.

If you have any questions, please do not hesitate to contact me.

Sylvia



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STIC EIC 3600 Search Request Form

Today's Date:

8/12/04

Priority Date:

1/21/2000

For 705 Searches list subclass:

36

Your Name DICK FULTS

AU 3628 Examiner # 78528

Room # 7C20 Phone 305-5416

Serial # 09/767 031

Is this a Rush? YES ☒ NO ☐
SPE's Signature _____

Is this a first action amendment? YES NO

Is this a refocus? YES NO

Access #

129909

What is the focus of this search? Please include concepts, synonyms etc.

Attach a copy of the abstract, pertinent claims and your East search strategy. Thanks.

See claims 1, 14, 18, 23, 25, 27, 36, 38 & the abstract for the key novel features.

Biggest issue is how to ^{electronically} transfer the security to the receiving entity without first selling it to receive the funds it represents, as the security typically resides in an account at a brokerage firm, and typically the brokerage firm will not transfer the securities except to another brokerage firm.

STIC Searcher
Date picked up

Phone
Date completed

